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PATENT  
Attorney Docket No.: 022302-000100US

Assistant Commissioner for Patents  
Washington, D.C. 20231

On 7/9/02

TOWNSEND and TOWNSEND and CREW LLP

REVOR / CUN



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Lianghe ZUO

Application No.: 10/066,517

Filed: January 31, 2002

For: SPAM CONTROL SYSTEM  
REQUIRING UNAUTHORIZED  
SENDERS TO PAY POSTAGE  
THROUGH AN INTERNET PAYMENT  
SERVICE WITH PROVISION FOR  
REFUND ON ACCEPTED MESSAGES

Examiner: To Be Assigned

Art Unit: 2161

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

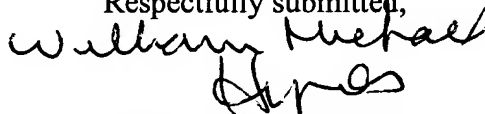
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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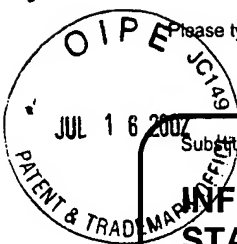
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



William Michael Hynes  
Reg. No. 24,168

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PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	10/066,517
Filing Date	January 31, 2002
First Named Inventor	Zuo, Lianghe
Group Art Unit	2161
Examiner Name	To Be Assigned
Attorney Docket Number	022302-000100US

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup>			
	AA	6,052,709		Paul	04-18-2000	
	AB	6,161,130		Horvitz et al.	12-12-2000	
	AC	6,249,805		Felming, III	06-19-2001	
	AD	6,266,692		Greenstein	07-24-2001	

### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Application Number 10/066,517

Filing Date January 31, 2002

First Named Inventor Zuo, Lianghe

Group Art Unit 2161

Examiner Name To Be Assigned

Total Number of Pages in This Submission

4

Attorney Docket Number

022302-000100US

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition☐ Petition to Convert to a  
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Group☐ Appeal Communication to Board of  
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(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
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Remarks

The Commissioner is authorized to charge any additional fees to  
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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Townsend and Townsend and Crew LLP

William Michael Hynes

Reg. No. 24,168

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William Michael Hynes

Date

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